

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Giuseppe Di Fabbri et al.
Docket No.: 2002-0355
Application No.:
Filing Date:
Examiner Name:
Group Art Unit:
Title: Method for Developing a Dialog Manager Using Modular Spoken-Dialog Components

INFORMATION DISCLOSURE STATEMENT

MAIL STOP: Patent Application
THE COMMISSIONER FOR PATENTS
PATENT & TRADEMARK OFFICE
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

SIR:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA Application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☐ 2. The references were cited by or submitted to the Office in parent Application No. _____, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these references are not attached. 37 C.F.R. § 1.98(d).

Respectfully,

Date: 03/01/04

By: Thomas M. Isaacson

AT&T CORP.
P.O. Box 4110
Middletown, New Jersey 07748-4110

Thomas M. Isaacson
Attorney for Applicants
Reg. No.: 44166
T: 410-414-3056
F: 410-510-1433

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	
				Filing Date	
				First Named Inventor	Giuseppe Di Fabrizio et al.
				Group Art Unit	
				Examiner Name	
Sheet	1		1	Attorney Docket Number	2002-0355

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	Survey of Existing Interactive Systems, Peter Bohlin, Johan Bos, Staffan Larsson, Ian Lewin, Colin Matheson, David Milward, The TRINDI Project, Department of Linguistics, Göteborg University, Gothenburg, Sweden, February 1999.	<input type="checkbox"/>
	2		<input type="checkbox"/>
	3		<input type="checkbox"/>
	4		<input type="checkbox"/>
	5		<input type="checkbox"/>
	6		<input type="checkbox"/>

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if referenced considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number ² Applicant is to place a check mark here if English language translation is attached.

SEND TO: Commissioner for Patents, Mail Stop: Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450